

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

WANG

Atty. Ref.: 3691-601

Serial No. To be Assigned

Group:

Filed: October 8, 2003

Examiner:

For: HEAT TREATABLE COATED ARTICLE WITH ANTI-MIGRATION BARRIER BETWEEN DIELECTRIC AND SOLAR CONTROL LAYER PORTION, AND METHODS OF MAKING SAME

October 8, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

- | | | |
|-------------------------------------|--|-----------------|
| ☒ | 1. PTO-1449 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action] | <i>N/C</i> |
| ☐ | 2. Statement Pursuant to 37 CFR 1.97(c)
[before Final Office Action and Allowance] | <i>N/C</i> |
| ☐ | 3. Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action and Allowance] | <i>\$180.00</i> |
| ☐ | 4. Pursuant to 37 CFR 1.97(d)
[before Issue Fee payment] | <i>\$180.00</i> |

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- | | |
|-------------------------------------|--|
| ☒ | 5. A list of documents on Form PTO-1449. Actual copies of the references listed on the attached form PTO-1449 are not included herewith in accordance with MPEP §609, these references being previously submitted in any and all of the parent applications. The parent application includes U.S. application Serial No. 09/995,807. |
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This paper is submitted in accordance with:

WANG

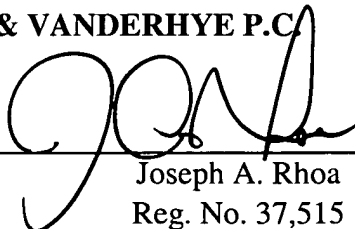
Serial No. To be Assigned

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
- ☐ b) The required Statement is stated in item 9 below.
- ☐ 9. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:



Joseph A. Rhoa
Reg. No. 37,515

JAR:caj

WANG

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**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

3691-601

SERIAL NO.

To be Assigned

APPLICANT

WANG

(Use several sheets if necessary)

FILING DATE

October 8, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,627,317	9/2003	Wang			
	5,800,933	9/1998	Hartig et al.			
	6,159,607	12/2000	Hartig et al.			
	5,557,462	9/1996	Hartig et al.			
	5,344,718	9/1994	Hartig et al.			
	6,280,834	8/2001	Veerasamy et al.			
	5,298,048	3/1994	Lingle et al.			
	5,514,476	5/1996	Hartig et al.			
	5,229,194	7/1993	Lingle et al.			
	6,014,872	1/2000	Hartig et al.			
	5,425,861	6/1995	Hartig et al.			
	5,688,585	11/1997	Lingle et al.			
	5,376,455	12/1994	Hartig et al.			
	5,563,734	10/1996	Wolfe et al.			
	5,521,765	5/1996	Wolfe			
	5,085,926	2/1992	Lida et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
WO 02/092527	11/2002	WIPO				
1 174 397	1/2002	WP				
1 123 906	8/2001	EP				
0 546 470	6/1993	EP				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

	U.S. Patent Application Serial No. 09/995,807 filed November 29, 2001
	"High Temperature Corrosion", Per KOFSTAD, Dept. of Chemistry, Univ. of Oslo, Norway, 1998, pgs. 360-371.
	Technical Digest 1995, "Optical Interference Coatings", WOLFE et al., Vol. 17, pps. 115-117
	International Search Report dated April 8, 2003

*Examiner		Date Considered	
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)